

# Quantum Fourier Transforms and Shor's Algorithm (Parts 1 and 2)

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# Techniques

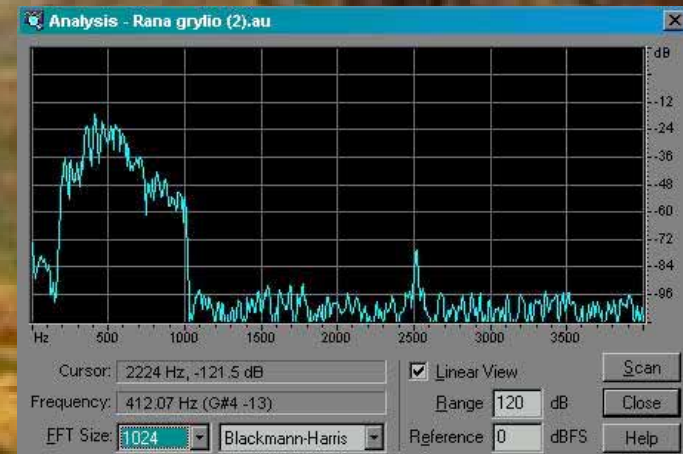
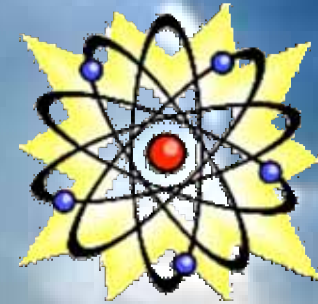
## 1. Amplitude Amplification

Grover's Search algorithm

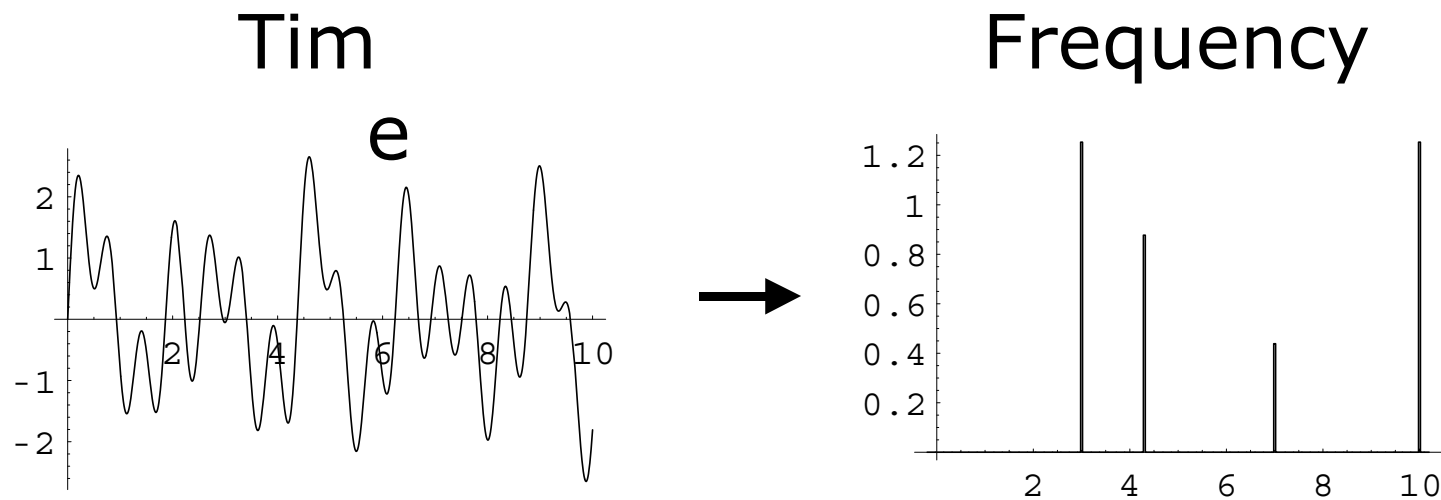
## 2. Fourier Transforms

Shor's Factoring algorithm

# Frequency Analysis



# Fourier Transforms

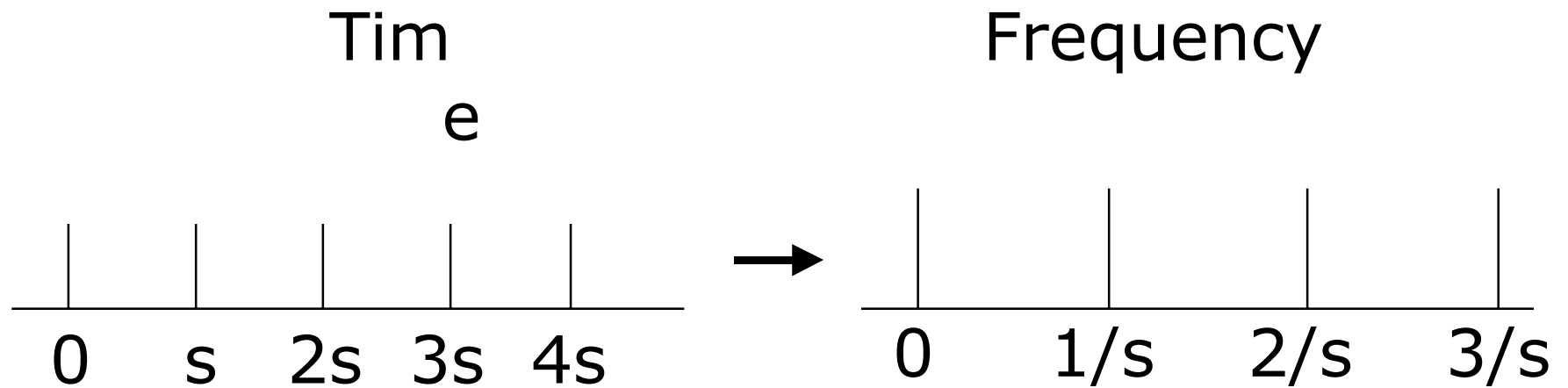


$$\sin[3t] + .7 \sin[4.2t] + .36 \sin[7t] + \sin[10t]$$

↓ Fourier Transform

$$\delta(3) + .7 \delta(4.2) + .36 \delta(7) + \delta(10)$$

# Discrete Fourier Transforms



Period  $s$

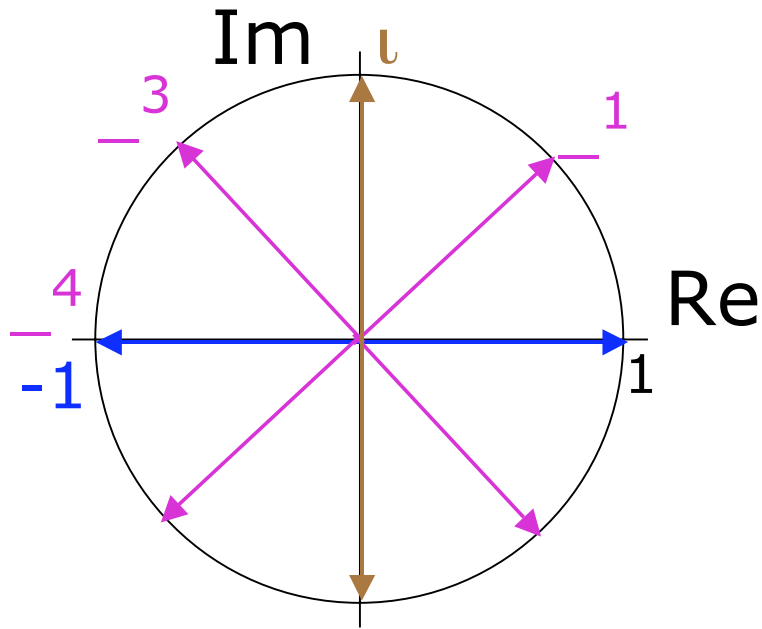


Fourier Transform

Frequencies  $\{0, 1/s, 2/s, 3/s, \dots\}$

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# Roots of unity



$$X^2=1 \Leftrightarrow X \in \{+1, -1\}$$

$$X^4=1 \Leftrightarrow X \in \{+1, i, -1, -i\}$$

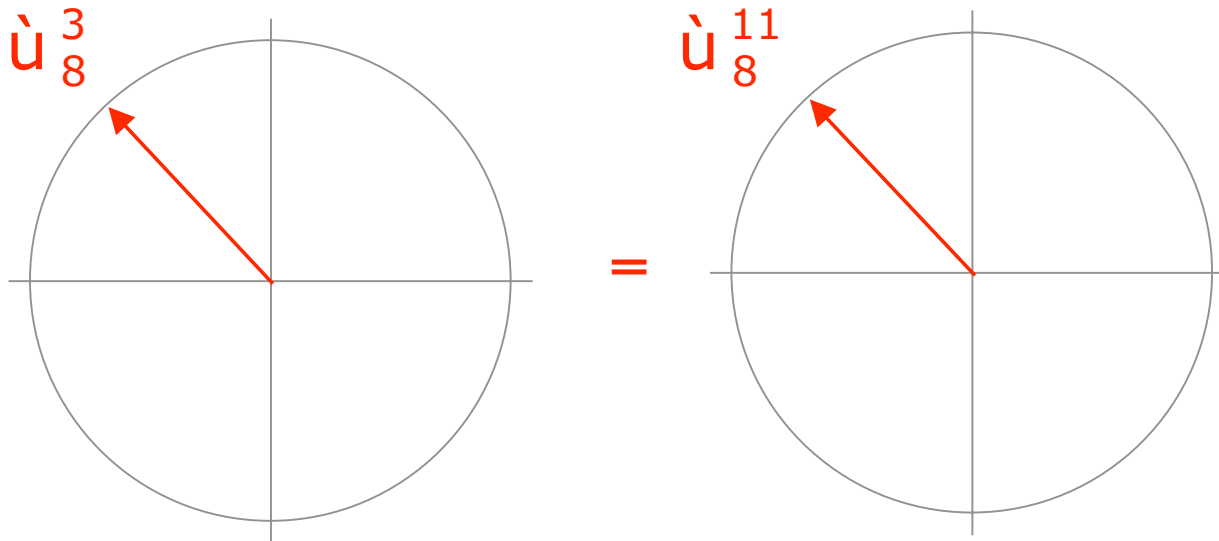
$$X^8=1 \Leftrightarrow$$

$$X \in \{ \_0, \_1, \_2, \_3, \_4, \_5, \_6, \_7 \}$$

$$\text{where } \_ = \_8 = \exp(2\pi i/8)$$

Def:  $n^{\text{th}}$  principal root is  $\_n = \exp(2\pi i/n)$

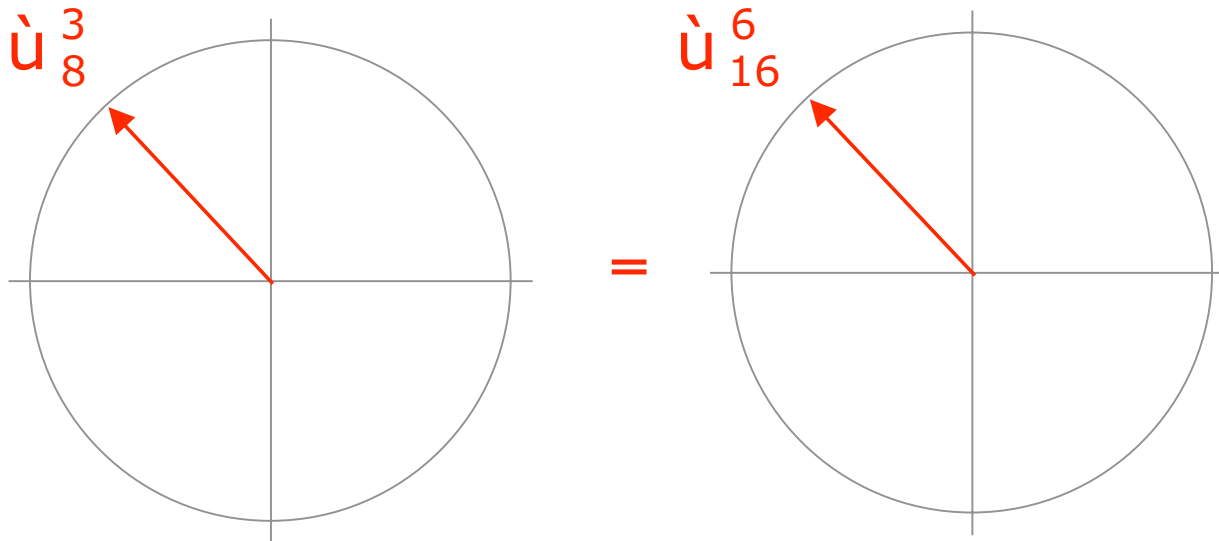
# Property I



Def:  $n^{\text{th}}$  principal root is  $\zeta_n = \exp(2\pi i/n)$



# Property II



Def:  $n^{\text{th}}$  principal root is  $\zeta_n = \exp(2\pi i/n)$



# Constructive interference

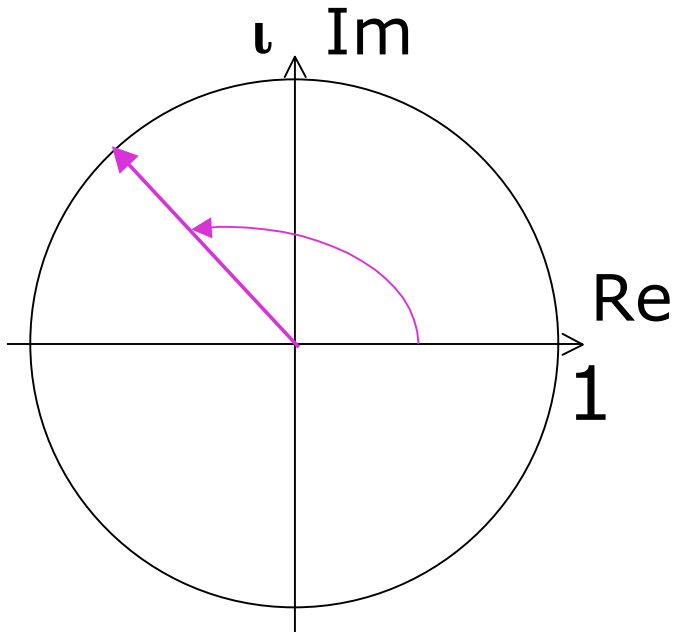
The diagram shows four identical circles, each representing a wave. Each circle has a horizontal and vertical axis. A red arrow points from the center of each circle to the upper-left quadrant, indicating the wave's phase. The arrows in all four circles are perfectly aligned, representing waves in phase. The circles are separated by plus signs. To the right of the fourth circle is an equals sign followed by the expression  $4\dot{u}_{8}^{3}$ .

$$\dot{u}_{8}^{3} + \dot{u}_{8}^{11} + \dot{u}_{8}^{19} + \dot{u}_{8}^{27} = 4\dot{u}_{8}^{3}$$

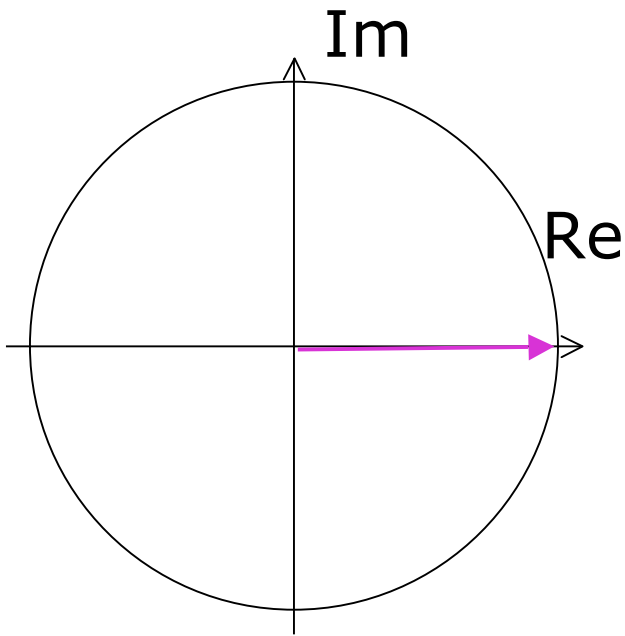
# Back to Frequency Analysis

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# Rotating vector

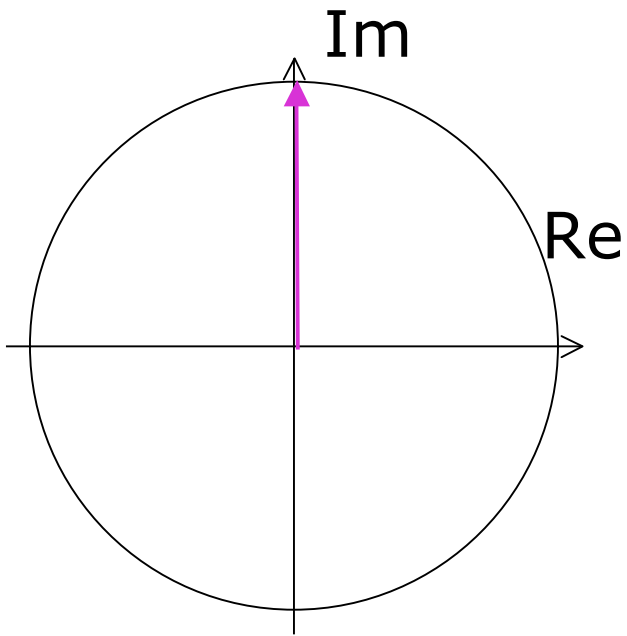


# Rotating vector



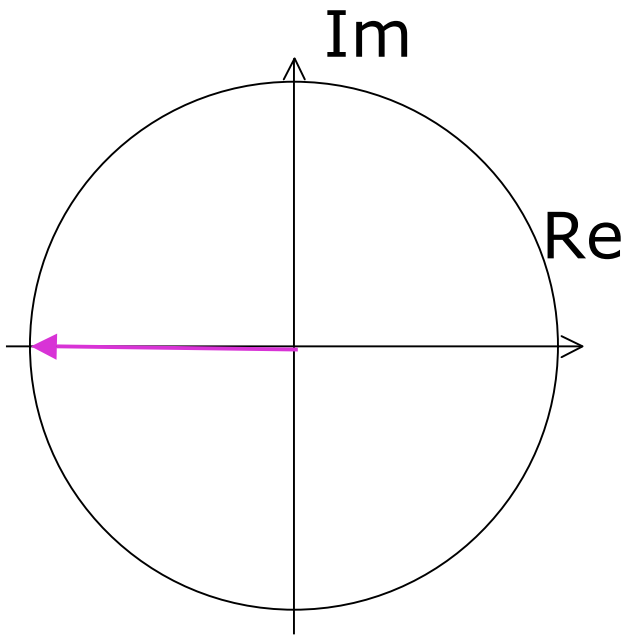
$$f_2 = 1$$

# Rotating vector



$$f_2 = 1, i$$

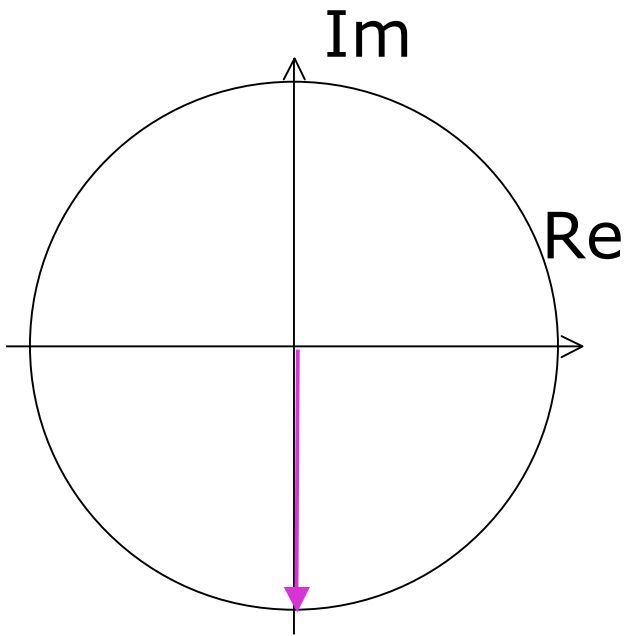
# Rotating vector



$$f_2 = 1, i, -1$$

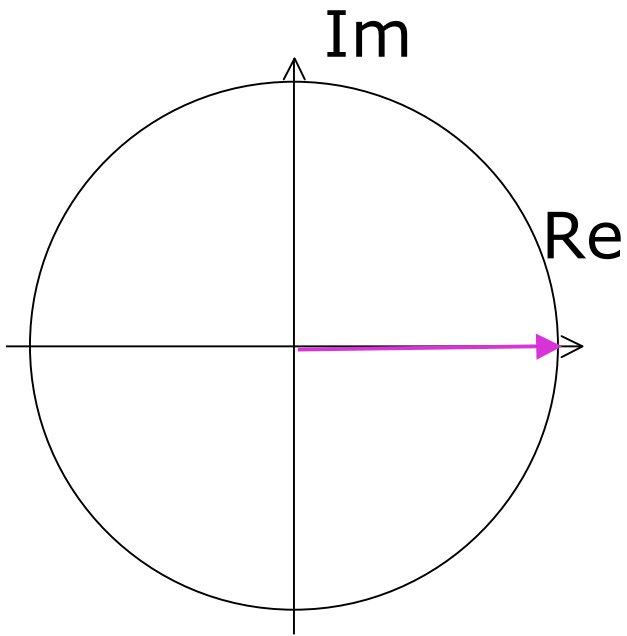


# Rotating vector



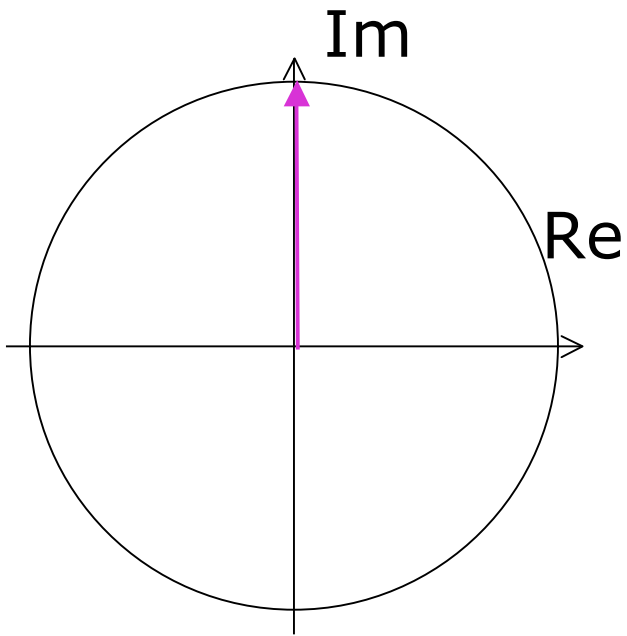
$$f_2 = 1, \iota, -1, -\iota$$

# Rotating vector



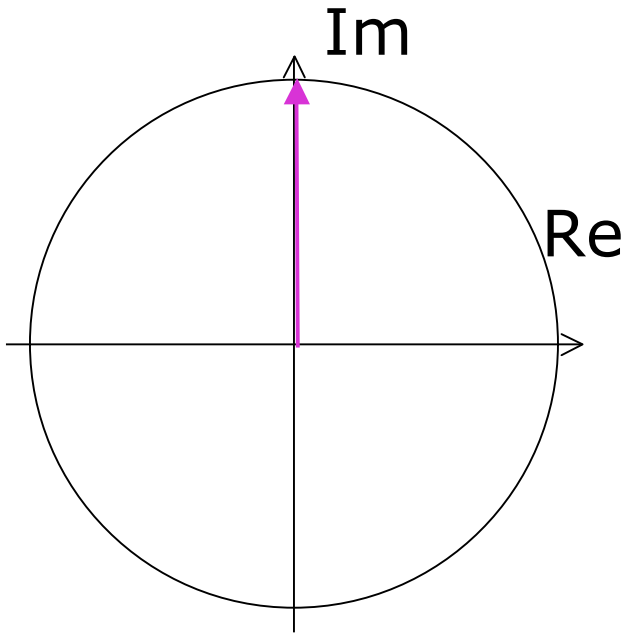
$$f_2 = 1, \iota, -1, -\iota, 1$$

# Rotating vector



$$f_2 = 1, i, -1, -i, 1, i$$

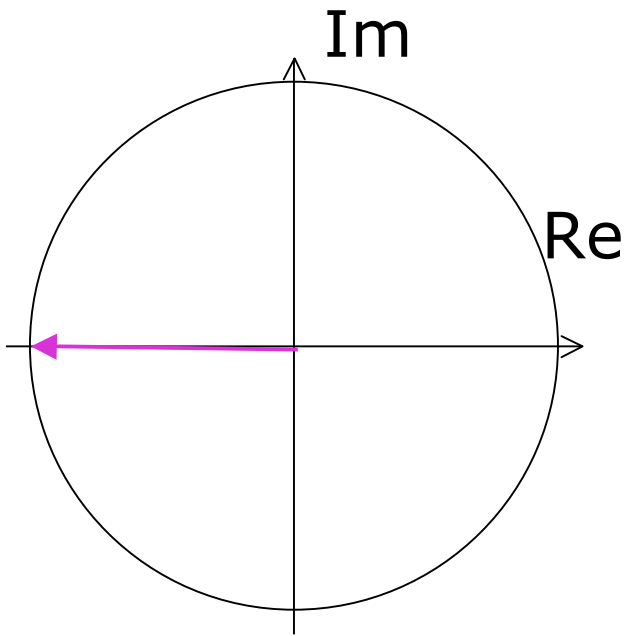
# Rotating vector



TIME

|       |   |         |    |          |   |         |    |          |
|-------|---|---------|----|----------|---|---------|----|----------|
| $f_2$ | 1 | $\iota$ | -1 | $-\iota$ | 1 | $\iota$ | -1 | $-\iota$ |
|-------|---|---------|----|----------|---|---------|----|----------|

# Rotating vector

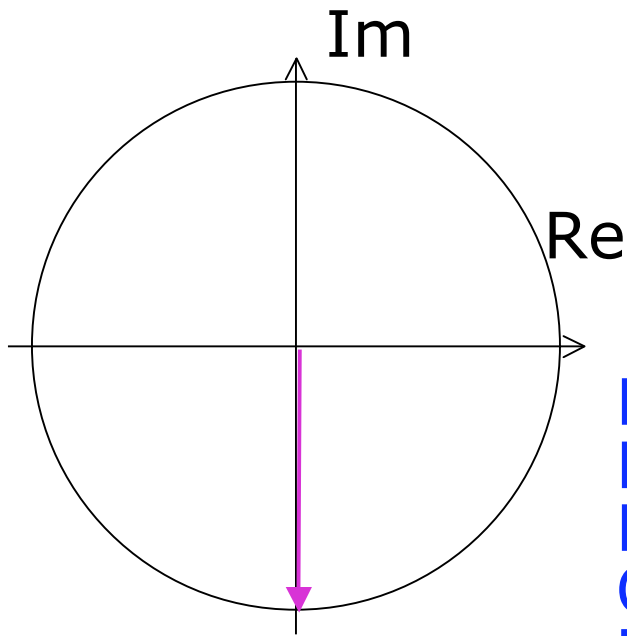
 $f_2$ 

|   |         |    |          |   |         |    |          |
|---|---------|----|----------|---|---------|----|----------|
| 1 | $\iota$ | -1 | $-\iota$ | 1 | $\iota$ | -1 | $-\iota$ |
|---|---------|----|----------|---|---------|----|----------|

 $f_4$ 

|   |    |   |    |   |    |   |    |
|---|----|---|----|---|----|---|----|
| 1 | -1 | 1 | -1 | 1 | -1 | 1 | -1 |
|---|----|---|----|---|----|---|----|

# Rotating vector



F  
R  
E  
Q  
U  
E  
N  
C  
Y

$f_2$

|   |         |    |          |   |         |    |          |
|---|---------|----|----------|---|---------|----|----------|
| 1 | $\iota$ | -1 | $-\iota$ | 1 | $\iota$ | -1 | $-\iota$ |
|---|---------|----|----------|---|---------|----|----------|

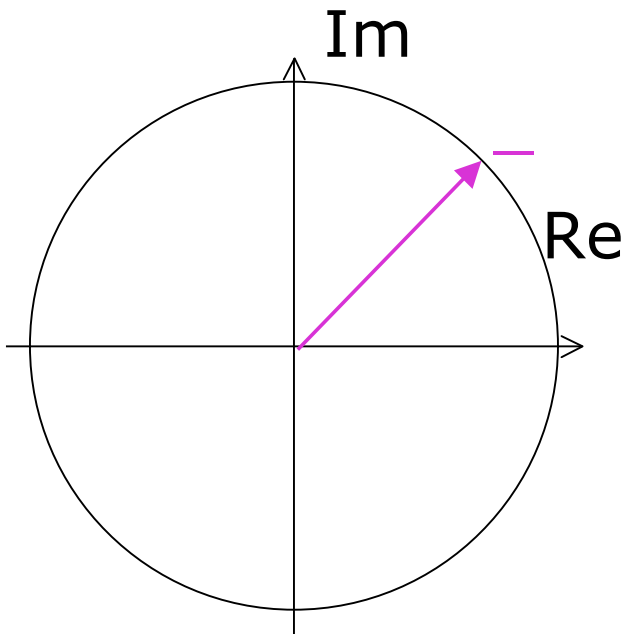
$f_4$

|   |    |   |    |   |    |   |    |
|---|----|---|----|---|----|---|----|
| 1 | -1 | 1 | -1 | 1 | -1 | 1 | -1 |
|---|----|---|----|---|----|---|----|

$f_6$

|   |          |    |         |   |          |    |         |
|---|----------|----|---------|---|----------|----|---------|
| 1 | $-\iota$ | -1 | $\iota$ | 1 | $-\iota$ | -1 | $\iota$ |
|---|----------|----|---------|---|----------|----|---------|

# Rotating vector



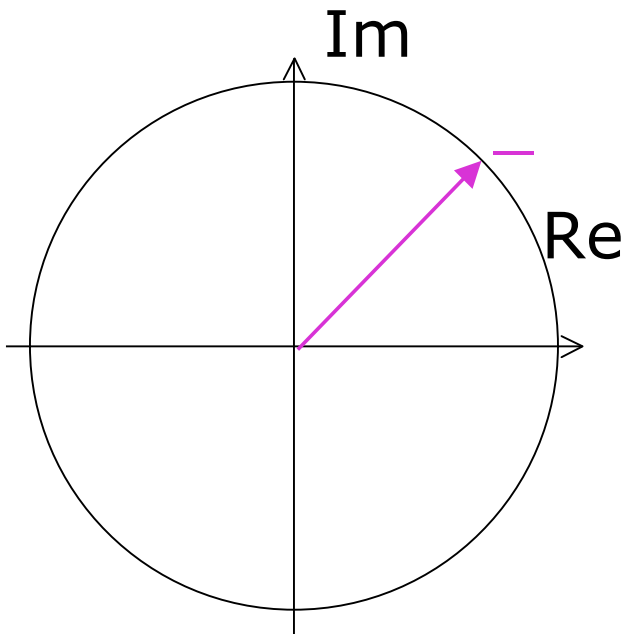
$$i = -2$$

|       |   |     |    |      |   |     |    |      |
|-------|---|-----|----|------|---|-----|----|------|
| $f_1$ | 1 | -   |    |      |   |     |    |      |
| $f_2$ | 1 | $i$ | -1 | $-i$ | 1 | $i$ | -1 | $-i$ |

|       |   |    |   |    |   |    |   |    |
|-------|---|----|---|----|---|----|---|----|
| $f_4$ | 1 | -1 | 1 | -1 | 1 | -1 | 1 | -1 |
|-------|---|----|---|----|---|----|---|----|

|       |   |      |    |     |   |      |    |     |
|-------|---|------|----|-----|---|------|----|-----|
| $f_6$ | 1 | $-i$ | -1 | $i$ | 1 | $-i$ | -1 | $i$ |
|-------|---|------|----|-----|---|------|----|-----|

# Rotating vector



$$t = \frac{\pi}{2}$$

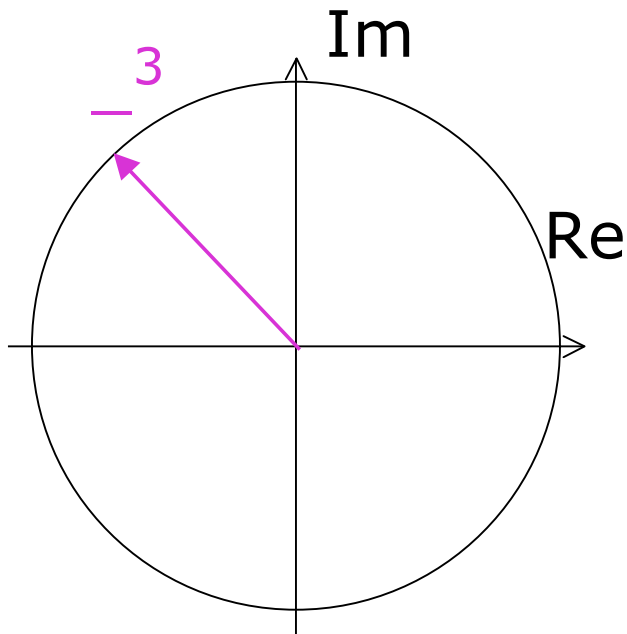
|       |   |     |    |      |    |     |    |      |
|-------|---|-----|----|------|----|-----|----|------|
| $f_1$ | 1 | -   | -2 | -3   | -4 | -5  | -6 | -7   |
| $f_2$ | 1 | $t$ | -1 | $-t$ | 1  | $t$ | -1 | $-t$ |

|       |   |    |   |    |   |    |   |    |
|-------|---|----|---|----|---|----|---|----|
| $f_4$ | 1 | -1 | 1 | -1 | 1 | -1 | 1 | -1 |
|-------|---|----|---|----|---|----|---|----|

|       |   |      |    |     |   |      |    |     |
|-------|---|------|----|-----|---|------|----|-----|
| $f_6$ | 1 | $-t$ | -1 | $t$ | 1 | $-t$ | -1 | $t$ |
|-------|---|------|----|-----|---|------|----|-----|

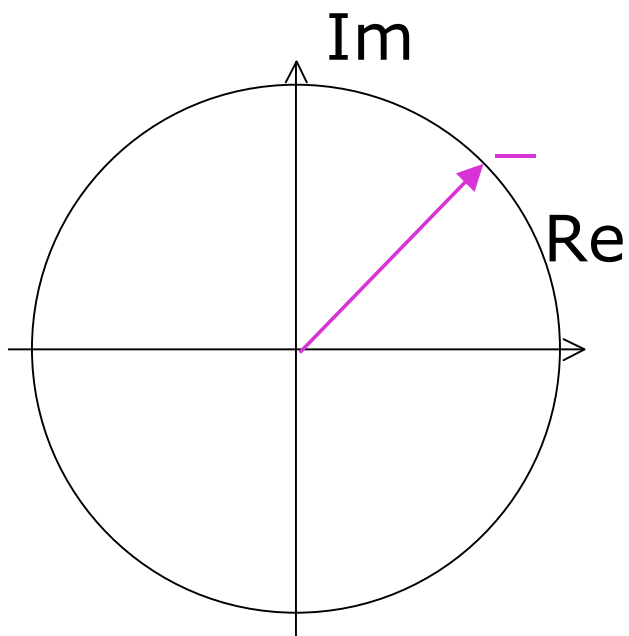


# Rotating vector



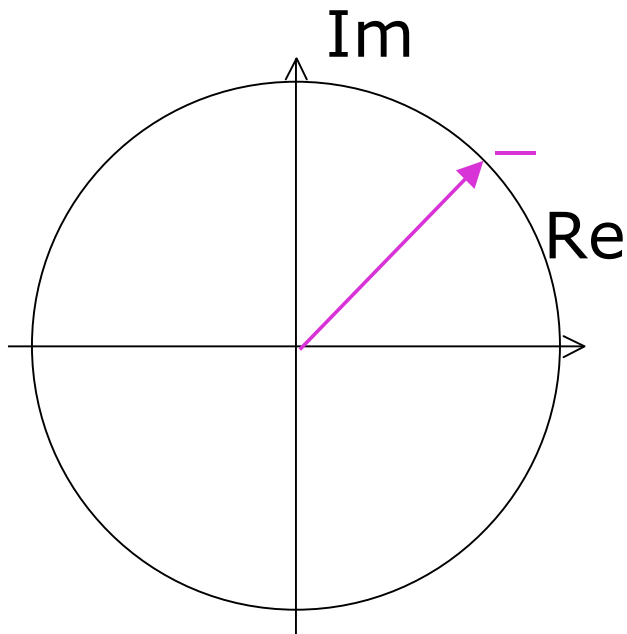
$$l = 2$$

|       |   |    |    |    |    |    |    |    |
|-------|---|----|----|----|----|----|----|----|
| $f_0$ | 1 | 1  | 1  | 1  | 1  | 1  | 1  | 1  |
| $f_1$ | 1 | -  | 2  | 3  | 4  | 5  | 6  | 7  |
| $f_2$ | 1 | l  | -1 | -l | 1  | l  | -1 | -l |
| $f_3$ | 1 | -3 | -6 | -  | -4 | -7 | -2 | -5 |
| $f_4$ | 1 | -1 | 1  | -1 | 1  | -1 | 1  | -1 |
| $f_5$ | 1 | -5 | -2 | -7 | -4 | -  | -6 | -3 |
| $f_6$ | 1 | -l | -1 | l  | 1  | -l | -1 | l  |
| $f_7$ | 1 | -7 | -6 | -5 | -4 | -3 | -2 | -  |



|       |   |   |   |   |   |   |   |   |
|-------|---|---|---|---|---|---|---|---|
| $f_0$ | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| $f_1$ | 1 | — | 2 | 3 | 4 | 5 | 6 | 7 |
| $f_2$ | 1 | 2 | 4 | 6 | 0 | 2 | 4 | 6 |
| $f_3$ | 1 | 3 | 6 | — | 4 | 7 | 2 | 5 |
| $f_4$ | 1 | 4 | 0 | 4 | 0 | 4 | 0 | 4 |
| $f_5$ | 1 | 5 | 2 | 7 | 4 | — | 6 | 3 |
| $f_6$ | 1 | 6 | 4 | 2 | 0 | 6 | 4 | 2 |
| $f_7$ | 1 | 7 | 6 | 5 | 4 | 3 | 2 | — |

# Fourier Transform!



Y  
O  
Z  
E  
C  
Q  
M  
R  
T

TIME

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | — | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | 2 | 4 | 6 | 0 | 2 | 4 | 6 |
| 1 | 3 | 6 | — | 4 | 7 | 2 | 5 |
| 1 | 4 | 0 | 4 | 0 | 4 | 0 | 4 |
| 1 | 5 | 2 | 7 | 4 | — | 6 | 3 |
| 1 | 6 | 4 | 2 | 0 | 6 | 4 | 2 |
| 1 | 7 | 6 | 5 | 4 | 3 | 2 | — |

# Quantum Fourier Transforms

$$\mathbf{F}_M = \frac{1}{\sqrt{M}} \begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^{M-1} \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2(M-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{M-1} & \omega^{2(M-1)} & \dots & \omega^{(M-1)(M-1)} \end{bmatrix} = \sum_{i,j=0}^{M-1} \omega^{-ij} |i\rangle\langle j|$$

1) QFT is unitary:  $\sum_j \omega^{-j} \omega^{2j} = 0$

2) Superpos of everything:  $\mathbf{F}_M |0\rangle = \sum_j |j\rangle = \mathbf{F}_M^{-1} |0\rangle$

3) Computable in time  $O(\log^2 M)$

# Computable in $O(\log^2 M)$

|   |     |     |     |     |     |     |     |
|---|-----|-----|-----|-----|-----|-----|-----|
| 1 | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 1 | —   | — 2 | — 3 | — 4 | — 5 | — 6 | — 7 |
| 1 | — 2 | — 4 | — 6 | — 0 | — 2 | — 4 | — 6 |
| 1 | — 3 | — 6 | —   | — 4 | — 7 | — 2 | — 5 |
| 1 | — 4 | — 0 | — 4 | — 0 | — 4 | — 0 | — 4 |
| 1 | — 5 | — 2 | — 7 | — 4 | —   | — 6 | — 3 |
| 1 | — 6 | — 4 | — 2 | — 0 | — 6 | — 4 | — 2 |
| 1 | — 7 | — 6 | — 5 | — 4 | — 3 | — 2 | —   |

$F_M$  is an  
M x M matrix

# Computable in $O(\log^2 M)$

|   |    |    |    |    |    |    |    |
|---|----|----|----|----|----|----|----|
| 1 | 1  | 1  | 1  | 1  | 1  | 1  | 1  |
| 1 | —  | —2 | —3 | —4 | —5 | —6 | —7 |
| 1 | —2 | —4 | —6 | —0 | —2 | —4 | —6 |
| 1 | —3 | —6 | —  | —4 | —7 | —2 | —5 |
| 1 | —4 | —0 | —4 | —0 | —4 | —0 | —4 |
| 1 | —5 | —2 | —7 | —4 | —  | —6 | —3 |
| 1 | —6 | —4 | —2 | —0 | —6 | —4 | —2 |
| 1 | —7 | —6 | —5 | —4 | —3 | —2 | —  |

|   |    |    |    |
|---|----|----|----|
| 1 | 1  | 1  | 1  |
| 1 | —2 | —4 | —6 |
| 1 | —4 | —0 | —4 |
| 1 | —6 | —4 | —2 |

|   |    |    |    |
|---|----|----|----|
| 1 | —  | —2 | —3 |
| 1 | —3 | —6 | —  |
| 1 | —5 | —2 | —7 |
| 1 | —7 | —6 | —5 |

$$F_4 \cdot \text{diag}\left(\begin{array}{|c|c|c|c|} \hline 1 & \text{—} & \text{—}2 & \text{—}3 \\ \hline \end{array}\right) =$$

$$T(M) = T(M/2) + \log M \quad \Rightarrow$$

$$T(M) = \log^2 M$$

# Summary - QFTs

$$F_M = \sum_{i,j=0}^{M-1} \omega^{ij} |i\rangle\langle j|$$

- Unitary
- Computed in  $O(\log^2 M)$
- Finds periodicities

# Simon's Problem for $\mathbb{Z}_M$

Given: function  $f: \mathbb{Z}_M \rightarrow A$  (Arbitrary set)  
with period  $s$ :

$$f(x) = f(x+s) = f(x+2s) = \dots$$

$f$  is unique within each period

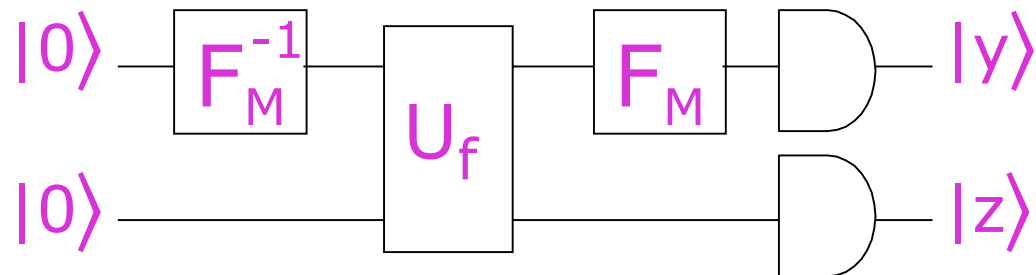
|    |    |   |    |   |    |    |   |    |   |    |    |   |    |    |
|----|----|---|----|---|----|----|---|----|---|----|----|---|----|----|
| 0  |    |   |    |   |    |    |   |    |   |    |    |   |    | 14 |
| 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9  |

Period  $s = 5$

We assume  $s$  divides  $M$



# Quantum algorithm

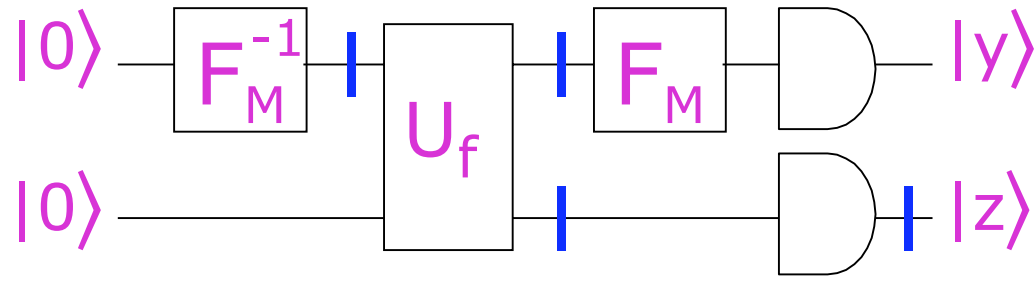


Theorem:  $y \in_{\text{random}} \{0, M/s, 2M/s, \dots, (s-1)M/s\}$

Corollary: We can find period  $s$  using only 10 queries

- Repeat 10 times. Obtain  $y_1, y_2, \dots, y_{10}$ .
- Compute  $d = \text{GCD}(y_1, y_2, \dots, y_{10})$ .  
Then with high prob.,  $d = M/s$ .
- Output  $M/d$ .

# Analysis



$$|0\rangle \rightarrow \sum_{j=0}^{M-1} |j\rangle \rightarrow \sum_{j=0}^{M-1} |j\rangle |f(j)\rangle \rightarrow \sum_{r=0}^{M/s-1} |k+rs\rangle |z\rangle$$

$$|\psi_k\rangle := \sum_{r=0}^{M/s-1} |k+rs\rangle$$

$$\text{Lemma: } F_M |\psi_k\rangle = \sum_{t=0}^{s-1} \omega_s^{tk} |t M/s\rangle$$

# Main proof

$$|\psi_k\rangle := \sum_{r=0}^{M/s-1} |k+rs\rangle$$

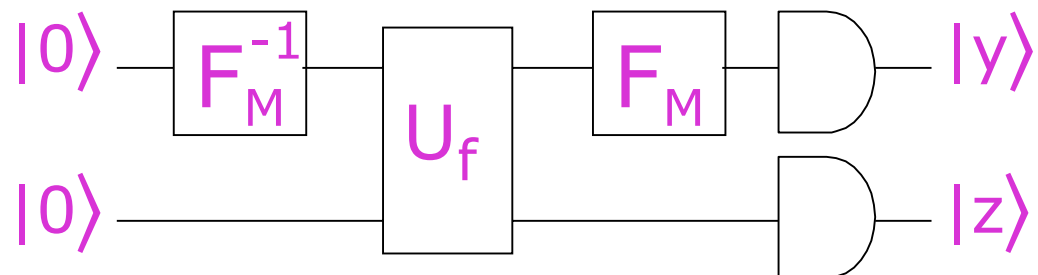
$$\text{Lemma: } F_M |\psi_k\rangle = \sum_{t=0}^{s-1} \omega_s^{-tk} |tM/s\rangle$$

$$\begin{aligned} \text{Pf: } F_M |\psi_k\rangle &= \sum_{i,j=0}^{M-1} \omega_s^{-ij} |i\rangle \langle j| \sum_{r=0}^{M/s-1} |k+rs\rangle \\ &= \sum_{i=0}^{M-1} |i\rangle \left( \sum_{r=0}^{M/s-1} \sum_{j=0}^{M-1} \omega_s^{-ij} \langle j|k+rs\rangle \right) \\ &= \sum_{i=0}^{M-1} |i\rangle \left( \sum_{r=0}^{M/s-1} \omega_s^{-i(k+rs)} \right) \\ &= \sum_{i=0}^{M-1} \omega_s^{-ik} |i\rangle \left( \sum_{r=0}^{M/s-1} \omega_s^{-irs} \right) \\ &= \sum_{i=0}^{M-1} \omega_s^{-ik} |i\rangle \left( \sum_{r=0}^{M/s-1} \omega_s^{-ir} \right) \\ &= \sum_{i=tM/s} \omega_s^{-ik} |i\rangle \end{aligned}$$

# Summary

$$F_M = \sum_{i,j=0}^{14} -^{ij} |i\rangle\langle j|$$

|    |    |   |    |   |    |    |   |    |   |    |    |   |    |    |
|----|----|---|----|---|----|----|---|----|---|----|----|---|----|----|
| 0  |    |   |    |   |    |    |   |    |   |    |    |   |    | 14 |
| 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9  |



# Variation of **Hidden Periodicity**

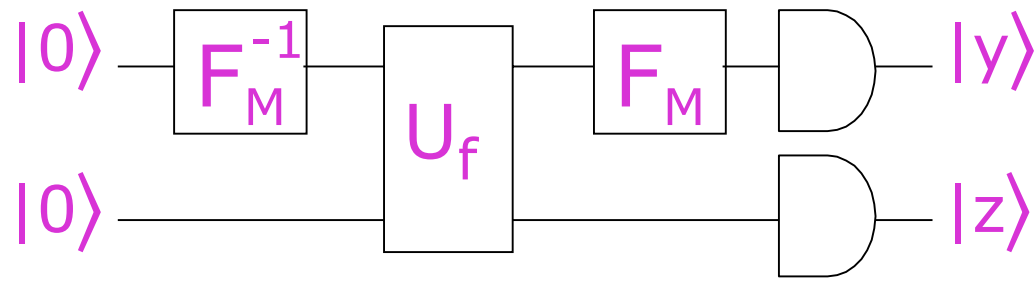
Given: function  $f: \mathbb{Z}_M \rightarrow A$  (Arbitrary set)  
with period  $s$ :  
 $f(x) = f(x+s) = f(x+2s) = \dots$   
Unique within each period

|    |    |   |    |   |    |    |   |    |   |    |    |    |    |   |
|----|----|---|----|---|----|----|---|----|---|----|----|----|----|---|
| 0  |    |   |    |   |    |    |   |    |   |    |    | 13 | 14 |   |
| 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3  | 10 | 9 |

Period  $s = 5$

do NOT assume  $s$  divides  $M$

# Quantum algorithm

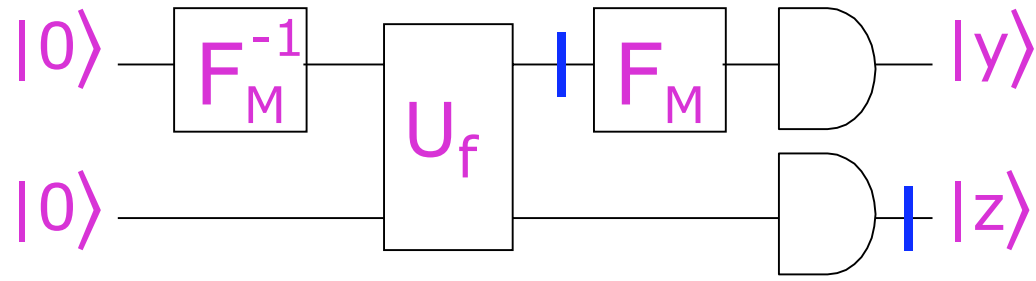


Theorem:  $y/M \approx t/s$  for some  $t \in \{0, M, 2M, \dots, (s-1)M\}$

Corollary: We can find period  $s$  using only 10 queries

- Repeat 10 times. Obtain  $y_1, y_2, \dots, y_{10}$ .
- Find integers  $t_1$  and  $s_1$  such that  $y_1/M \approx t_1/s_1$ .
- Compute  $\text{LCM}(s_1, s_2) = M/s$ .
- Output  $M/d$  of these LCM.

# Analysis



$$|0\rangle \rightarrow \sum_{j=0}^{M-1} |j\rangle \rightarrow \sum_{j=0}^{M-1} |j\rangle |f(j)\rangle \rightarrow \sum_{r=0}^{\lfloor (M-k-1)/s \rfloor} |k+rs\rangle |z\rangle$$

$$|\psi_k\rangle := \sum_{r=0}^{\lfloor (M-k-1)/s \rfloor} |k+rs\rangle$$

$$\text{Lemma: } F_M |\psi_k\rangle \approx \sum_{t=0}^{s-1} \frac{1}{s} \{ | \lfloor t M/s \rfloor \rangle + | \lceil t M/s \rceil \rangle \}$$

Technicality: we require that  $M > s^2$

# Finding a Hidden Periodicity

Given: function  $f: \mathbb{Z}_M \rightarrow A$  (Arbitrary set)

with period  $s$ :

$$f(x) = f(x+s) = f(x+2s) = \dots$$

Assume: - Unique within each period

-  $M > s^2$

Theorem: Can find  $s$  using 10 queries to  $f$



# Reducing Factoring

**Factoring**



**Order Finding**



**Hidden Periodicity**

# Order Finding

$$\mathbb{Z}_N = \{0, 1, \dots, N-1\}$$

$$\mathbb{Z}_N^* = \{ a \in \mathbb{Z}_N : \text{GCD}(a, N) = 1 \}$$

eg,  $\mathbb{Z}_{21}^* = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$

$$\text{order}(a) := \min\{ s > 0 : a^s \equiv 1 \pmod{N} \}$$

| $\mathbb{Z}_{21}^*$ | a | a <sup>2</sup> | a <sup>3</sup> | a <sup>4</sup> | a <sup>5</sup> | a <sup>6</sup> | a <sup>7</sup> | a <sup>8</sup> | a <sup>9</sup> |
|---------------------|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| a=2                 | 2 | 4              | 8              | 16             | 11             | 1              | 2              | 4              | 8              |
| a=5                 | 5 | 4              | 20             | 16             | 17             | 1              | 5              | 4              | 20             |
| a=8                 | 8 | 1              | 8              | 1              | 8              | 1              | 8              | 1              | 8              |

# Integer Factorization

Input:  $N=21$

$N=4399$

$N=9831$

Output:  $N = 3 \cdot 7$

$N = 53 \cdot 83$

$N = 87 \cdot 113$

RSA awards you for a successful factorization:

\$10,000:

18819881292060796383869723946165043980716356  
33794173827007633564229888597152346654853190  
60606504743045317388011303396716199692321205  
734031879550656996221305168759307650257059

Input size = number of digits in  $N$

# Factoring → Order Finding

We want to factorize  $N$ . Let  $a \in \mathbb{Z}_N^*$ ,  $s = \text{order}(a)$ .

$$a^s \equiv 1 \pmod{N}$$

$$\Rightarrow a^s - 1 \equiv 0 \pmod{N}$$

$$\Rightarrow (a^{s/2} + 1)(a^{s/2} - 1) \equiv 0 \pmod{N} \quad \text{if } s \text{ even}$$

$$\Rightarrow \text{Each factor of } N \text{ divides } (a^{s/2} + 1) \text{ or } (a^{s/2} - 1)$$

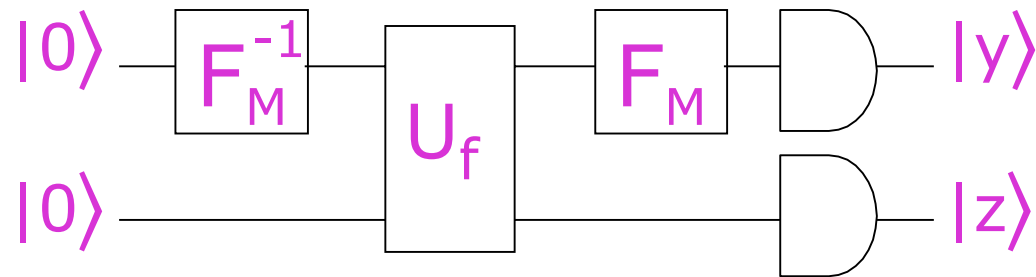
$$\Rightarrow \text{GCD}(N, a^{s/2} + 1) \text{ is a proper factor of } N \text{ - if lucky}$$

Fact: if we choose  $a \in \mathbb{Z}_N^*$  uniformly, then  $s$  is even and we are lucky, with probability at least  $1/2$ .

# Summary

$$F_M = \sum_{i,j=0}^{M-1} \omega^{ij} |i\rangle\langle j|$$

|    |    |   |    |   |    |    |   |    |   |    |    |   |    |
|----|----|---|----|---|----|----|---|----|---|----|----|---|----|
| 0  |    |   |    |   |    |    |   |    |   |    |    |   | 13 |
| 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 |



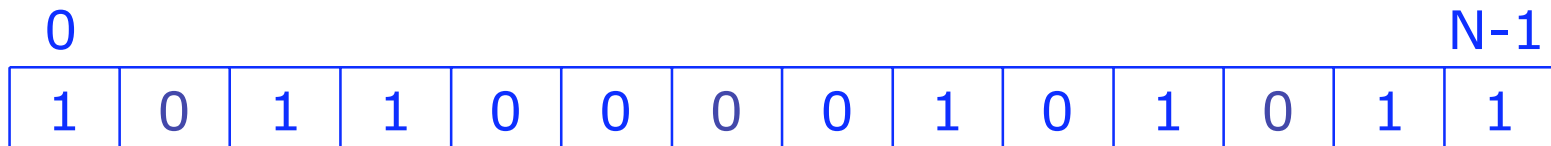
**Factoring** → **Order Finding**  
→ **Hidden Periodicity**

# Other applications of QFTs

- Phase Estimation



- Quantum Counting



- Discrete Logarithms modulo a prime  $p$

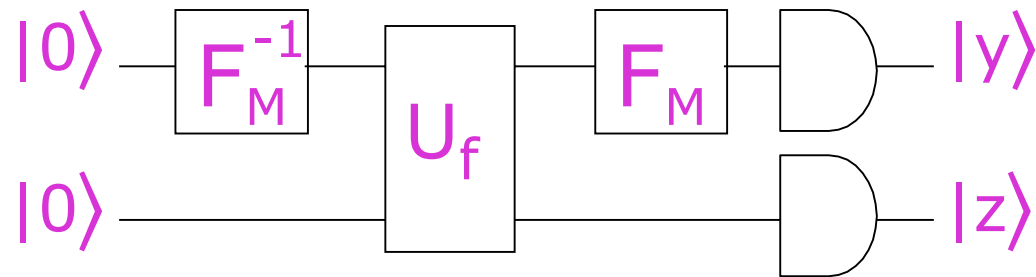
Order finding:  $a^s \equiv 1 \pmod{N}$

Discrete logs:  $g^r \equiv x \pmod{p}$

# Summary

$$F_M = \sum_{i,j=0}^{M-1} \omega^{ij} |i\rangle\langle j|$$

|    |    |   |    |   |    |    |   |    |   |    |    |   |    |
|----|----|---|----|---|----|----|---|----|---|----|----|---|----|
| 0  |    |   |    |   |    |    |   |    |   |    |    |   | 13 |
| 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 | 9 | 20 | 17 | 3 | 10 |



**Factoring** → **Order Finding**  
→ **Hidden Periodicity**